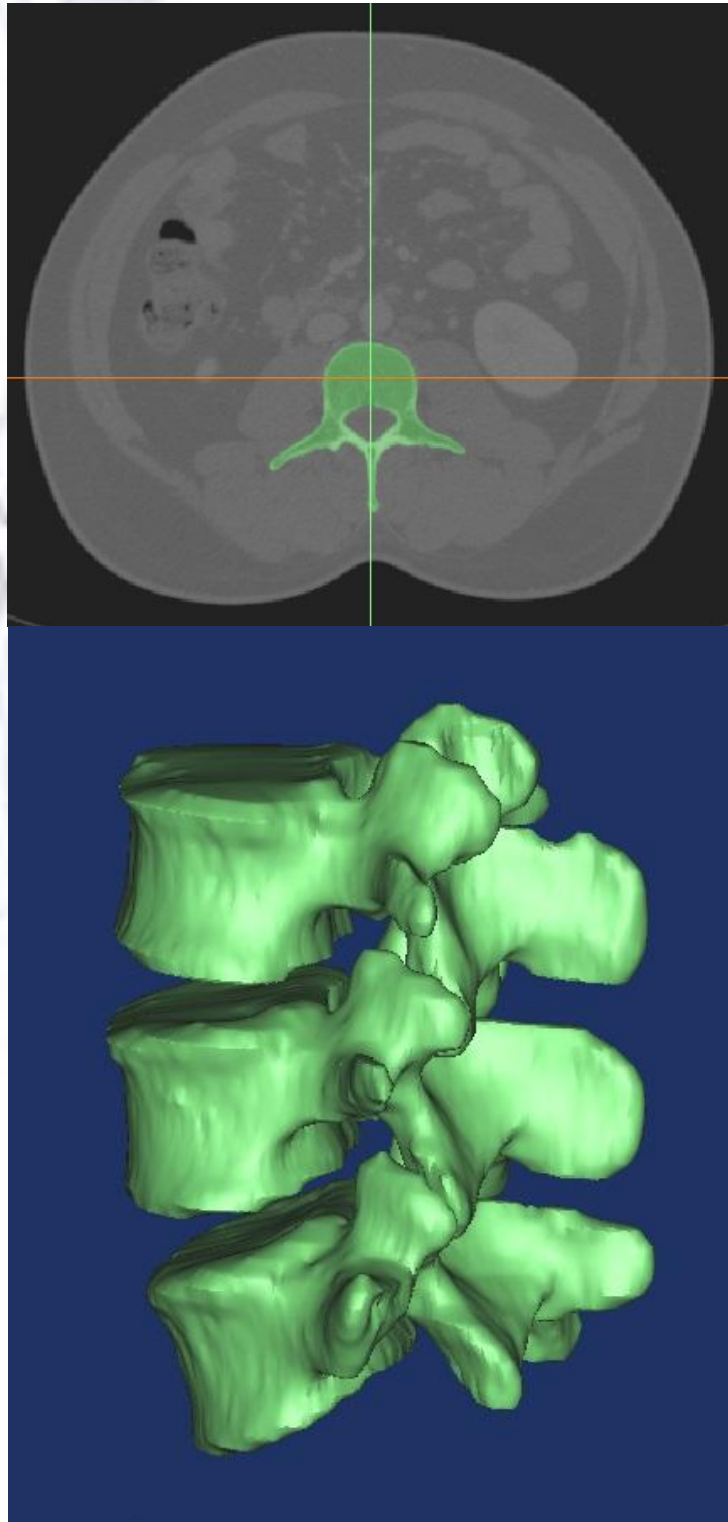


## JOURNAL OF MECHANICAL ENGINEERING & ALLIED SCIENCES



**About the Cover:** Pedicle screw rod systems are commonly used for treating spinal instability and low back pain mainly caused due to degeneration disc disease (DDD) or fracture. Computed Tomography (CT) scan of thresholding & 3D model created in MIMICS.

**In this issue:**

- Spotlights
- Articles

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# JOURNAL OF MECHANICAL ENGINEERING & ALLIED SCIENCES

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## *Spotlights of the Journal*

by the Editor

Major focus of this journal has been nurturing ideas and little innovations taking place in smaller laboratories across the states in this country. In this first the thrust areas identified are biomechanical systems, their applicability, solutions as well suitable characterizations of various mechanical systems. Main objective is to promote research that provides solutions to societal problems and make livelihood of human being improved and sustainable

# **Ligamentous Lumbar Spine Model for Studying the Response of Natural and Pedicle Screw Implanted Vertebrae: A Finite Element Study**

Jayanta Kr Biswas<sup>\*1</sup>, Masud Rana<sup>2</sup>, Anal Ranjan Sengupta<sup>1</sup>, Shishir Kr Biswas<sup>1</sup>, Subhasish Halder<sup>1</sup>, Anirban Sarkar<sup>1</sup>, Palash Biswas<sup>1</sup>

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## **ABSTRACT**

Pedicle screw rod systems are commonly used for treating spinal instability and low back pain mainly caused due to degeneration disc disease (DDD) or fracture. Finite element (FE) method is a useful tool to analyze the problems related to spinal degeneration disease. Different FE studies of spinal instability have been performed with different degrees of precision. Our objective of this study is to find out the effect of ligaments on FE analysis of natural spine model and spine model with pedicle screw fixation from the viewpoint of Range of Motion (ROM). Computed Tomography (CT) scan data is used to develop finite element model of spine. Finite element models with and without ligaments of a natural L3-L5 lumbar vertebrae and L3-L5 lumbar vertebrae with pedicle screw fixation are developed. ROM for flexion and extension are calculated under bending moments of 2, 4, 6, 7.5, 10 Nm only and under a compressive force of 500N coupled with same bending moments. The finite element analyses show that the ROM of natural spine is about 50% higher than that of natural spine with ligaments. But in case of spine model with pedicle screw fixation, only 2% difference of ROM is observed.

**Keywords:** Lumbar Spine, Finite Element Analysis, Pedicle Screw, Ligament

## **INTRODUCTION**

The functions of the spine are to provide flexibility, support of the upper body weight, and protect the spinal cord and nerve roots. Spine contains a series of 24 vertebral bones connected by nearly 75 joints that control motion in three planes, flexion- extension, axial rotation and lateral bending. Degenerative disc disease (DDD) and severe external loads may cause compression on nerves and make the spine become unstable, which cause lower back pain and affect the ROM of spinal column. Most humans, aged 30 years and more, show degenerative changes in the inter-vertebral discs [1]. One of the major medical remedy for this kind of problem is arthrodesis (fusion), which is an effective tool in the management of a wide variety of spinal instabilities and painful conditions [2,3,4]. Pedicle screw fixation (fusion) is one of the major and widely used surgical remedy for treating these conditions [5]. Pedicle screws are the strongest component compared to other spinal implants and are becoming more popular [6,7]. Ligaments attached to the vertebrae reduce excessive motion of spine and provide stability [8]. But it is very complex process to include ligaments in the model.

Finite element analysis (FEA) is an effective tool to analyze the processes which are difficult to be clarified by experimental methods. It allows new design to be tested before a prototype is manufactured. FEA technique has the potential to reduce costs and to save time during the development of new effective spinal treatment methods or implants [9,10,11]. There is a need to obtain realistic and perfect models for the human spine. The FEA of the spine under various loading conditions is helpful in predicting the success of an implant and future risk of fracture.



## OBJECTIVE

The aim of the study was to develop finite element models of lumbar vertebrae (L3-L5), with and without ligaments and the same with pedicle screw fixation using Computed Tomography (CT) scan data and to study the ROM in that region. The objective of this study was: i) to understand the importance of considering ligaments in finite element modeling of lumbar spine. ii) to calculate the ROM for flexion and extension under various loading conditions.

## METHODS

The geometry of the L3-L4-L5 lumbar spine model is constructed through use of computed tomography (CT) images in the DICOM format of total 175 slices, with a slice space of 1mm. CT slices are used with MIMICS 14.0 (Technologielaan 15, B-3001 Leuven, Belgium) software to create the lumbar spine. These CT slices are threshold within the gray value of the lumbar vertebra bone. The area file, created with MIMICS, is exported to finite element package ANSYS, Inc 14.0 (Southpointe, 275 Technology Drive Canonsburg, PA 15317) to create 3D volume.

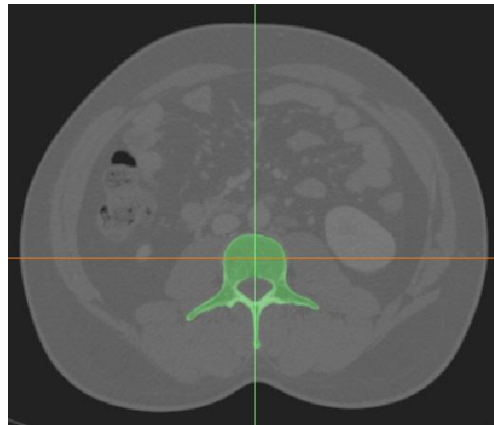


Fig.1: CT scan image thresholding.

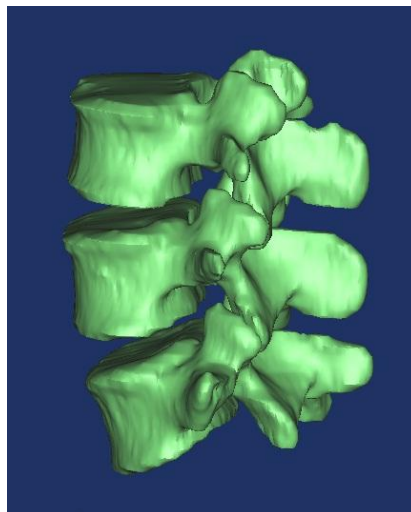


Fig.2: 3D model created in MIMICS.

The inter-vertebral discs are modeled manually between L3-L4 vertebrae and L4-L5 vertebrae. Tetrahedral solid element was selected for discretization process. MIMICS is used for material properties assignment. Material properties of different parts of the bone are given in the following table.

Table1: Material properties of different parts of the bone.

Components	Material properties
Cortical shell	E= 12000 MPa, $\nu$ = 0.3 [12]
Posterior Bone	E= 3500 MPa, $\nu$ = 0.25 [12]
Cancellous bone	This material properties is taken from MIMICS
Bone Graft	E= 12000 MPa, $\nu$ = 0.3 [12]

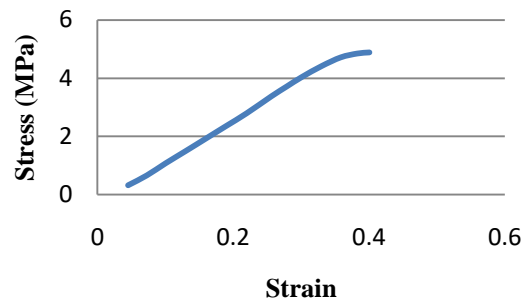


Fig. 3: Stress-strain curve used for intervertebral disc [13].

Rod and the screws are also modeled and mesh all volume using element SOLID 92. The material properties and dimensions of the rod and screw are given in the table.

Material	Young's modulus (MPa)	Poisson's ratio	Dia (mm)	Length (mm)
Stainless Steel	180000	0.3	6	35

Table 2: Material properties and dimensions of the screw [14].

Material	Young's modulus (MPa)	Poisson's ratio	Dia (mm)	Length (mm)
Stainless Steel	180000	0.3	4	58

Table 3: Material properties and dimensions of the rod [14].

Ligaments	Young's Modulus (MPa)	Poisson's ratio	Area (mm <sup>2</sup> )
Anterior longitudinal ligament (ALL)	20	0.3	63.7
Posterior longitudinal ligament (PLL)	20	0.3	20
Ligamentum flavum (LF)	19.5	0.3	40
Interspinous ligament (ISL)	12	0.3	40
Supraspinous ligament (SSL)	15	0.3	30
Intertransverse ligament (ITL)	59	0.3	1.8
Facet capsular ligament (FCL)	33	0.3	30

Table 4: Material properties of ligaments [15,16].

All the four models (natural lumbar with and without ligaments & pedicle screw fixation with and without ligaments) are subjected to bending moment of 2,4,6,7.5 and 10Nm applied on L3 and a compressive load of 500N applied on the superior surface of L3 coupled with the same bending moments for flexion and extension. The inferior surface of the L5 vertebral body is completely fixed in all directions. The ROM between L3-L5 are examined and compared.



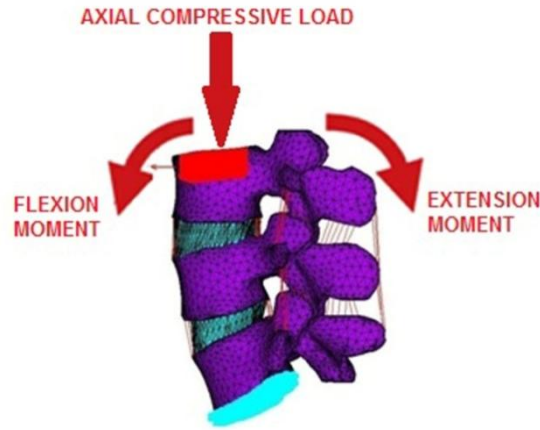


Fig.7: Boundary conditions applied.

### Calculation of Range of Motion:-

To find out the Range of motion between the vertebrae, three nodes nearest to the center of gravity selected which lie in the X-Z plane and these three nodes create a plane. Another new plane is generated after deformation taking same none. The angle between two planes is equal to the angle between their normal vectors.

$A_1X + B_1Y + C_1Z + D_1 = 0$  for plane before deformation

and  $A_2X + B_2Y + C_2Z + D_2 = 0$  for plane after deformation

then the angle between two planes found by using the following formula

$$\cos \alpha = \frac{|A_1 \cdot A_2 + B_1 \cdot B_2 + C_1 \cdot C_2|}{\sqrt{A_1^2 + B_1^2 + C_1^2} \sqrt{A_2^2 + B_2^2 + C_2^2}}$$

The angle between these two planes is calculated using a C program with the software Turbo C++.

### RESULTS

To find out the angle between L3-L5, the node nearest to the center of gravity is selected first. Another two nodes are selected which lie in the X-Z plane containing that node. These three nodes create a plane and another new plane is generated after deformation. The angle between these two planes is calculated using a C program with the software Turbo C++. The ROM of all the models under different loading conditions are shown below.

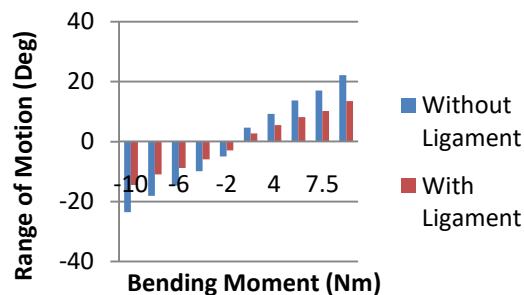


Fig.8: ROM of natural spine under bending moments only.

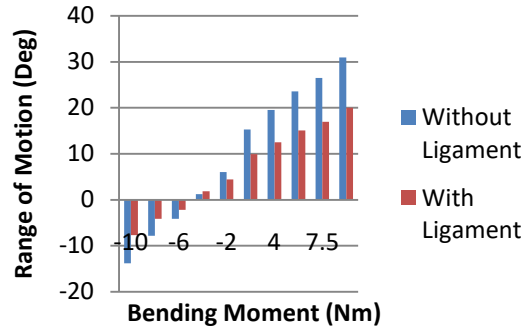


Fig.9: ROM of natural spine under compressive load coupled with bending moments.

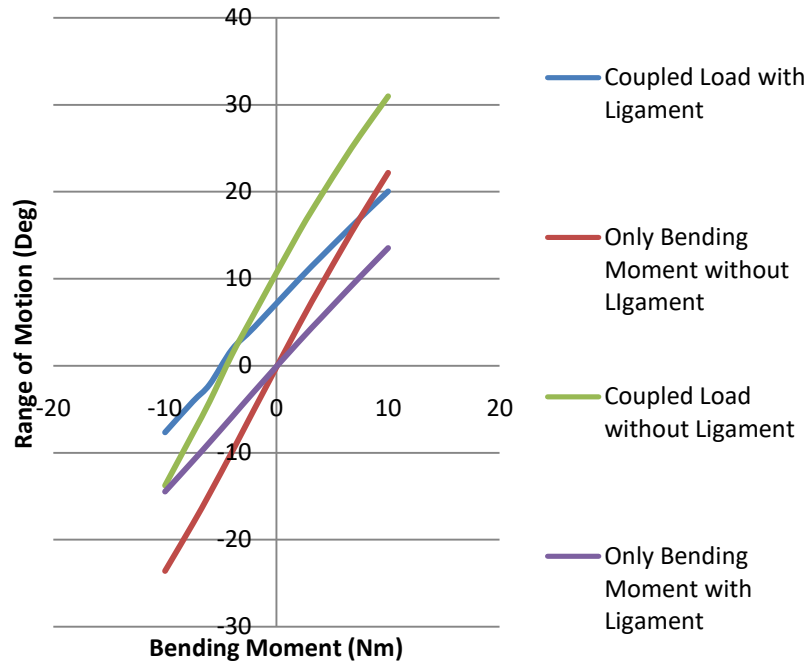


Fig.10: Comparison of ROMs for natural lumbar spine.

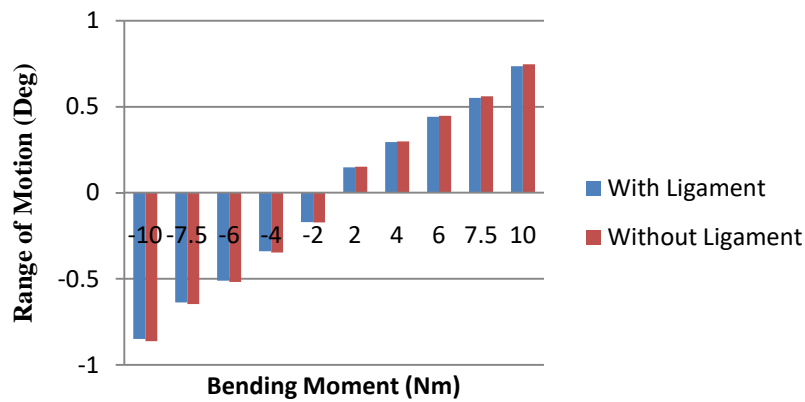


Fig.11: ROM of spine with pedicle screw under bending moments only.

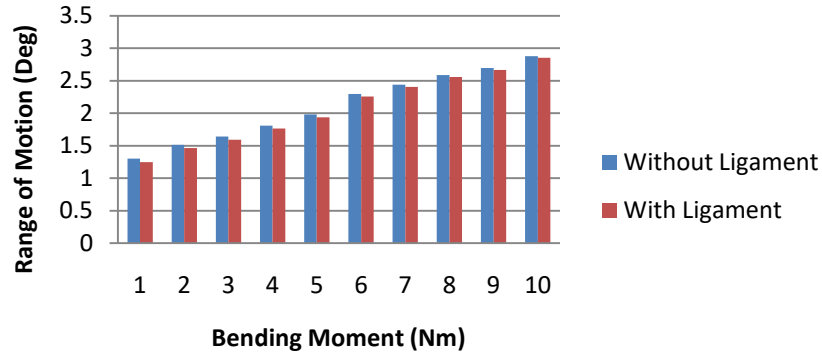


Fig.12: ROM of spine with pedicle screw under compressive load coupled with bending moments.

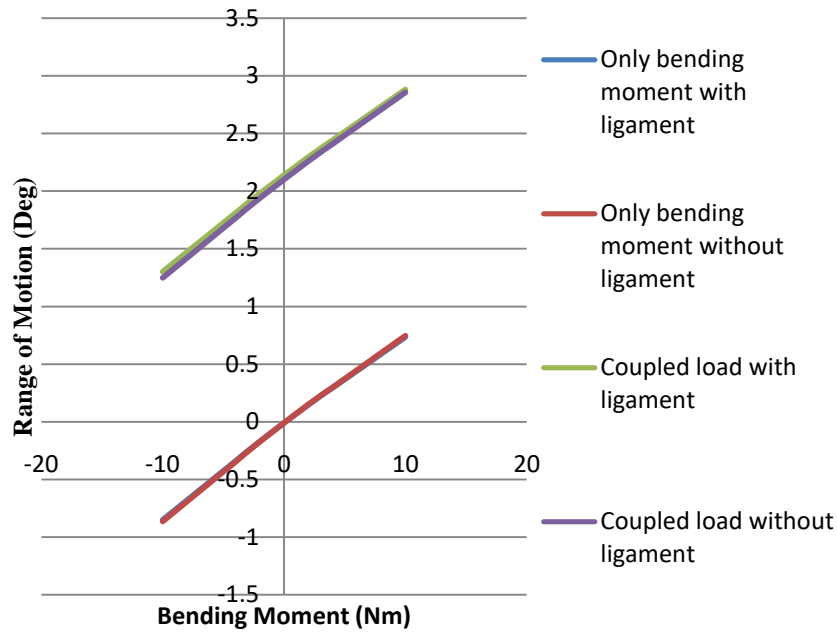


Fig.13: Comparison of ROMs for implanted lumbar spine.

The results of the compressive load coupled with bending moments applied on natural lumbar spine with ligaments are compared with the experimental data of Panjabi et al. (1994). From this comparison it is clear that the results are almost same except the results of extension for 2 and 4Nm.

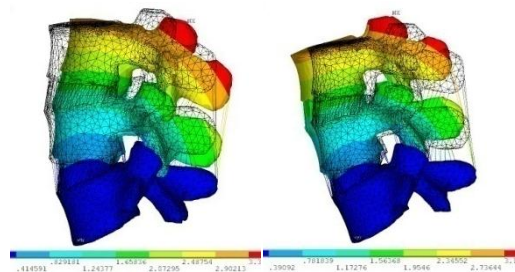


Fig.14: Deformed shape with undeformed model for extension and flexion.

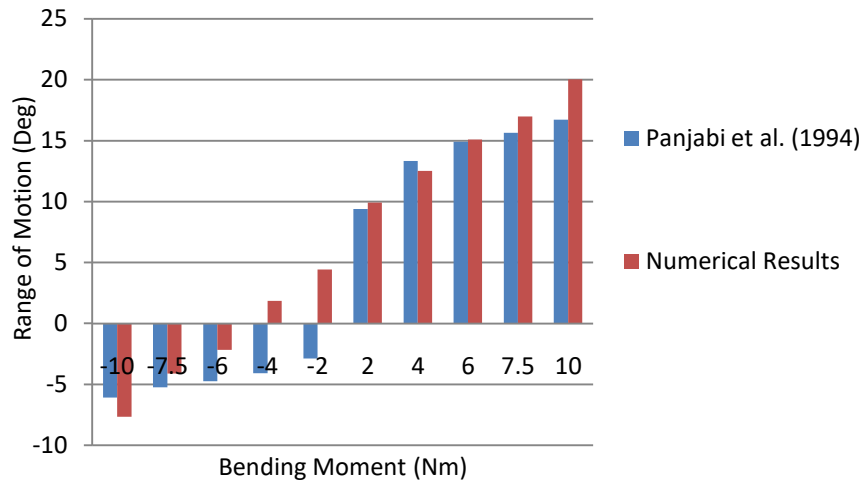


Fig. 15: ROM of natural spine under compressive load coupled with bending moments.

## CONCLUSIONS

From the results of the numerical solutions, the following conclusions may be drawn:

- Ligaments play an important role in finite element analysis of natural lumbar spine. About 50% more ROM is observed in every case.
- Ligaments have no significant effect on ROM of a lumbar spine model with pedicle screw implant. Only 2% difference is found in every case.
- Under compressive load on the implanted model no backward motion is found even under 10Nm backward bending moment.

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# DRY GRINDING WITH SILICON CARBIDE WHEEL: A REVIEW

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***Abstract:** Titanium alloys find their application in a variety of engineering fields, namely in aerospace, automotive, petrochemical and biomedical industry, due to their properties like high corrosive resistance, low specific gravity, high specific strength, non magnetic property and bio compatibility. However, this material is hard to grind owing to its low thermal conductivity, high hardness at elevated temperature and high chemical reactivity resulting in high force requirement, severe wheel loading, high grinding ratio, etc. For these reasons, proper selection of cutting parameters like wheel speed, table feed and infeed (depth of cut) plays a significant role. The present experimental investigation is aimed at finding better grinding parameters, comparing two different infeed values. Grinding forces, surface roughness, grinding chip forms and ground surface morphology are observed in case of surface grinding of Titanium Grade 1 using silicon carbide wheel, under dry condition. The results suggest that grinding forces as well as surface roughness values increase with increase in infeed value.*

**Keyword:** Grinding, Titanium Grade 1, Silicon Carbide Wheel, Grinding Ratio, Surface Roughness.

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## I. Introduction

Grinding is a material removal process, generally used to shape and finish components made of metals and other materials. Grinding is a widely used machining process in industry for surface smoothing and finishing. The precision and surface finish obtained through grinding can be up to ten times better than that with either turning or milling. Grinding employs an abrasive tool, usually in the form of a rotating wheel brought into controlled contact with a work surface [1], [2]. Grinding is one of the most complex manufacturing processes with respect to material removal. Although classified as a conventional machining process, it differs significantly from the more traditional processes like milling, drilling and turning, as the material is removed by undefined cutting edges. With high negative rake angle, the material removal in grinding occurs with a very large number of these undefined cutting edges, whose shape, orientation and distribution are random due to the manufacturing process of the grinding wheel. The cutting edges are the protruding geometry of hard abrasive grains which are immersed in a bond structure forming a grinding wheel. It is the random nature of these grains and their interactions with the work material that make the process so complex [3].

Progress of the science and technology has called for a great variety of materials with diversified properties, and various new materials such as hardened steel, titanium alloy, nickel based alloy, etc. have been developed and applied continuously. These materials are generally difficult to machine with low machinability rating, and machining of these materials is always a big challenge [4]. Among these materials Titanium and its alloys are a big hit in manufacturing industry. Titanium alloy is a high strength-to-weight ratio material with superior fatigue strength. It is non-magnetic, non-poisonous, corrosion-resistant and heat-resistant. These favourable properties have brought about its wide application in daily life and industry. However, from the machining view point, titanium alloy is chemically active, and the chips tend to adhere easily onto the wheel surface in grinding due to very high local temperature and pressure at the grinding zone. Machining and grinding of titanium and its alloys are difficult due to their chemical reactivity beyond 350°C, low thermal conductivity and high hot strength [5], [6]. Unlike grinding of conventional steels where heat generated spreads quickly from high temperature grinding zone, grinding heat gets accumulated during grinding of titanium alloys due to their low thermal conductivity. Grinding temperature rises sharply during initial wheel-work contact, attains a quasi-steady state with a long workpiece, and increases further when wheel-work is disengaged [7], [8].

Titanium grade 1 is a super alloy that is widely used in aeronautical industry for making airframe components, components of chemical desalination plants, cryogenic vessels, heat exchanger tubes, biomedical industry, petroleum industry, etc. [9], [10]. During grinding of titanium grade 1 alloy common problems such as surface damage, surface burn, intense wheel loading, etc are commonly reported [11], [12], [13]. Apart from that, problems like chip re-deposition might also occur on the job surface. This re-deposition creates progressively

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increasing surface damage with the increase in hardness of wheel [15]. Proper selection of grinding parameters plays a very significant role in this process. Selection of grinding wheel is also an important consideration. Dense wheels are suitable for harder material while less dense structure is better for softer materials. Bonding strength of grinding wheel is also important to withstand centrifugal forces, to resist shock loading of wheel and to hold abrasive grains rigidly [16]. According to Malkin [17] and Rowe [18], Silicon Carbide wheels are better suited for non ferrous materials like titanium.

The present research work is aimed at finding the suitable infeed value for which better grinding results are observed when comparing two different infeed values. The experimental observations are made in case of plunge surface grinding of titanium grade 1 alloy using a silicon carbide wheel in dry condition. Analysis was done considering certain parameters such as force requirement, surface roughness, chip forms and ground surface morphology.

## II. Experimental Procedures

**Workpiece Material:** The workpiece material used is titanium grade 1 alloy having hardness of 22 HRC and size 120 mm × 55 mm × 6 mm, whose composition is given in Table 1. It is a widely used alloy of titanium in aerospace and biomedical industry. The material has high impact toughness and is readily weldable. The material is capable of deep drawing, and used for plate, frame, and tube heat exchangers [19].

Table 1: Composition of titanium grade 1 alloy.

Titanium	Iron	Oxygen	Nitrogen
99.85	0.12	0.02	0.01

**Experimental setup and measurement:** Experiments are carried out on plunge surface grinding machine of HMT Praga division. Force readings are taken for 20 upgrinding passes at 10 and 20 micron infeed on Sushma made strain gauge type dynamometer. Grinding chip and ground surface morphology are observed under toolmakers microscope. Surface roughness values are measured on a portable surface roughness tester (Mitutoyo make). Details of experimental condition and equipment used are provided in Table 2.

Table 2: Experimental conditions and equipment used

Surface Grinding Machine	Make : HMT Praga Division Model : 452 P Infeed Resolution : 1 µm Main Motor Power : 1.5 kW Maximum Spindle Speed : 2800 rpm
Grinding Wheel	Make : Carborundum Universal Limited Type : Disc Type Size : 200 × 31.75 × 20 Specification : CGC 60 K 5 V
Workpiece	Material : Titanium Grade 1 Dimension : 120 mm × 55 mm × 6 mm Hardness : 22 HRC
Environment	Dry
Force Dynamometer	Make : Sushma Grinding Dynamometer, Bengaluru Model : SA 116 Range : 0.1 – 100 kg Resolution : 0.1 kg
Wheel Dresser	Make : Solar, India Specification : 0.5 carat Single Point Diamond Tip Dressing Infeed : 20 µm
Surface Roughness Tester	Make : Mitutoyo, Japan Model : Surftest 301 Range : 0.05 – 40 µm Resolution : 0.05 µm
Tool Makers Microscope	Make : Mitutoyo, Japan Model : TM 510

### III. Experimental results and discussion

The following section deals with the results obtained for different experiments and their possible explanations.

**Grinding Forces:** Grinding force is one of the most important factors in evaluating the performance of grinding process. The force in surface grinding has two components: tangential grinding force and normal grinding force. Grinding forces were observed for 20 passes in upgrinding operation at 10 micron and 20 micron.

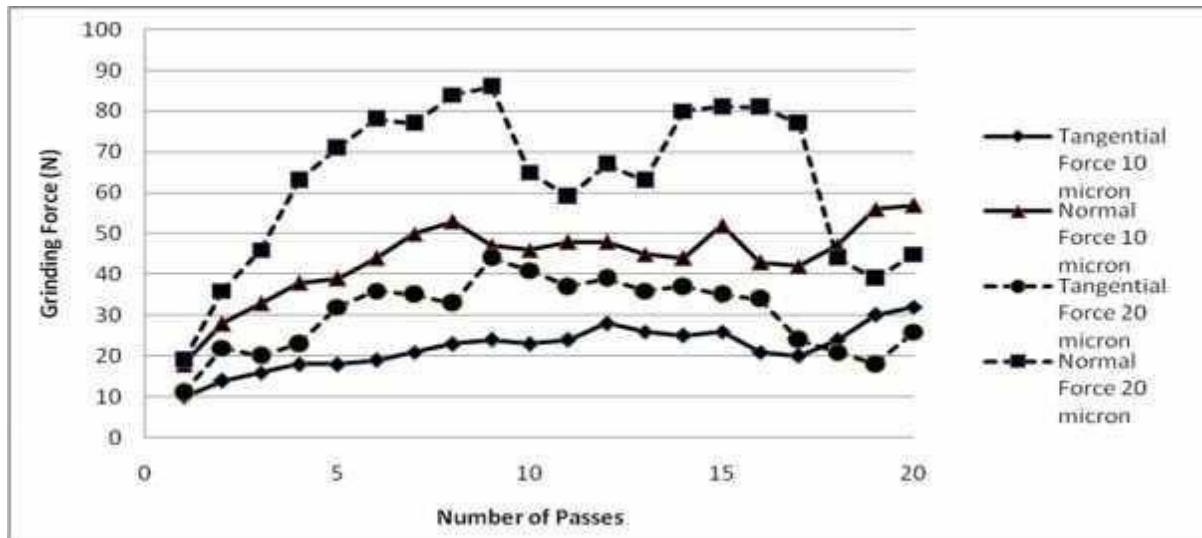


Fig. 1: Variation of grinding forces with number of grinding passes under dry condition at 10 micron and 20 micron infeed

The plot in fig. 1 depicts number of passes on abscissa and grinding forces on ordinate. Both tangential and normal forces are shown in the same plot for 10 micron and 20 micron. From the trend it can be easily seen that value of normal force is always greater than tangential force component value for both infeeds. A general increasing trend is observed up to 8 passes. This may be because of the fact that during first few passes grinding wheel is unable to take the given infeed due to stiffness of the system. After 8<sup>th</sup> pass a general decrease in force value is observed. This may be due to the autosharpening operation which becomes inevitable due to wheel loading during previous passes.

Both tangential and normal force component values are higher in case of 20 micron infeed. The 19<sup>th</sup> and 20<sup>th</sup> pass value differs from this general trend. This may be due to the effect of high wheel material removal in previous passes which results in lower penetration of grinding wheel in last two passes. Overall the force values are higher in case of 20 micron infeed which is normally expected.

**Surface Roughness:** Surface roughness often simply termed as roughness is a component of surface texture. It is quantified by the deviations in the direction of the normal to a real surface from its ideal form. If these deviations are large, the surface is rough; if they are small, the surface is smooth [20].

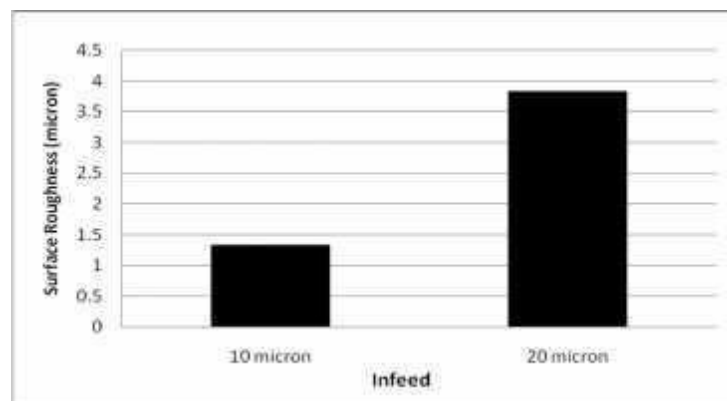


Fig. 2: Comparison of surface roughness (micron) in transverse direction after 20 grinding passes

Surface roughness values are observed on a portable surface roughness tester. Average surface roughness values ( $R_a$ ) are taken as the average of five different roughness values observed at different locations in transverse direction on the ground surface after 20 passes. From the above histogram (fig. 2), it can be clearly seen that average surface roughness value at 10 micron infeed is much smaller compared to that at 20 micron infeed. This is due to the fact that at higher value of infeed, force requirement is more and more heat is generated, resulting in poor surface finish.

**Grinding Ratio:** An important parameter in assessing the grinding performance is the Grinding Ratio (G ratio). It is defined as the ratio between volume of work material removed to the volume of wheel material removed. From the definition of G-ratio, it is obvious that, higher amount of G ratio is desirable. So, from the calculated values as presented in fig. 3, it can be inferred that grinding with 10 micron is preferable than with 20 micron infeed.

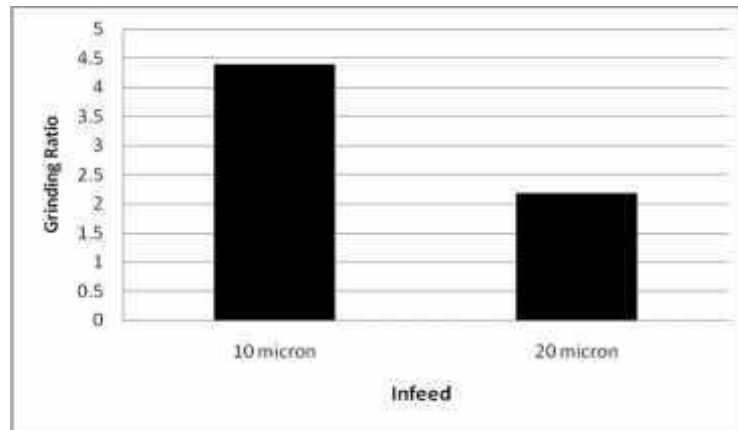


Fig. 3: Comparison of Grinding Ratio after 20 passes

**Chip study and surface morphology:** Chip form and ground surface study play is important in predicting and analysing a grinding operation. Fig. 4 and fig. 5 shows the observed chip form and ground surface respectively.



(a) (b)  
Fig. 4: Chip form observed after 18 passes (a) 10 micron; (b) 20 micron



(a) (b)  
Fig. 5: Surface topography observed after 20 passes (a) 10 micron; (b) 20 micron

Chips are collected after 18 passes. Large number of blocky and fragmented chips is observed suggesting higher wheel loading. Very few chips are leafy. Surface form was observed after 20 passes under toolmakers microscope. Long and deep lay marks are observed on the surface. Chip re-deposition is also seen at places which suggest favourable grinding has not taken place. It is expected that use of suitable grinding fluid may improve chip form and ground surface morphology. Future experimental works would be done in this respect.

#### IV. Conclusion

Analysing the different parameters obtained during grinding of titanium grade alloy using silicon carbide wheel at 10 and 20 micron infeed, the following conclusions are drawn:

- Tangential force values are lower than normal force values in all the cases as usual.
- Force requirement in case of 20 micron is greater than that at 10 micron for all the passes except 19<sup>th</sup> and 20<sup>th</sup> pass.
- Surface finish and grinding ratio are found to be better at 10 micron infeed than that at 20 micron infeed.

Further experiments may be done using an appropriate grinding fluid to improve grinding performance while surface grinding titanium grade 1.

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# OPTIMIZING TITANIUM GRINDING WITH CONVENTIONAL WHEELS

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**Abstract:** Titanium and its alloys are considered to be difficult-to-machine material due to their poor heat conductivity and high chemical reactivity at elevated temperature. But owing to their excellent properties such as high strength-to-weight ratio, low density, resistance to corrosion, etc., titanium and its alloys find wide applications in automotive, aerospace, shipping industries and others. Hence, grinding process is adopted to remove material from such exotic metals and their alloys to achieve the desired surface finish of the product. However, wheel-loading, wheel material removal, grit wear are some of the major problems encountered during grinding. Selection of proper wheel with appropriate combination of process parameters is thus extremely important prior to grinding. In the present work, grinding has been performed on Titanium Grade 1 using alumina wheel under dry environment. Observations with respect to grinding force, surface roughness, ground chip-forms and workpiece surfaces are taken for two infeed. Grinding ratio is also calculated. Results show that a relatively better grindability can be achieved while working at an infeed of 10  $\mu\text{m}$  under dry condition.

**Keywords:** Grinding, Titanium Grade 1; Alumina wheel; Grinding force; Ground surface; Grinding ratio; Ground chips.

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## I. Introduction

The advancement of material science and technology has facilitated the discovery of new elements, metals and alloys having high hardness, strength, ductility, toughness and low thermal conductivity, thereby making them difficult to machine. These metals/alloys not only possess the ability to sustain high temperature but also retain their integrity with minimum environmental impact [1]. Thus, material like titanium, molybdenum, rhenium, tungsten, cobalt, tantalum, niobium, chromium, hastelloy, nimonic, waspaloy, udimet etc have found profound use in the aerospace, vehicles, engines and gas turbines, nuclear and biomedical industrial sectors [2]. But these materials also require proper machining and/or grinding before being readied for use in the industry. In the present paper, one such material namely Titanium has been chosen to work on.

Following the past research works, Titanium and its alloys are experienced to be difficult-to-machine material. Titanium is 30% stronger and nearly 50% lighter than steel, while it is 60% heavier than aluminum but twice as strong [3]. With its low density, high strength, and excellent resistance to corrosion, titanium is believed to solve many engineering challenges. But, Titanium is a poor conductor of heat [4]. When it comes to machining titanium, heat generated by the cutting action does not dissipate quickly, rather it gets concentrated on the cutting edge and the tool face. It also has a strong alloying tendency or chemical reactivity at high temperature which may cause galling, welding and smearing along with rapid wear of the cutting tool. These two factors together with its work-hardening characteristics and low modulus of elasticity makes titanium a difficult-to-machine material [3]. Grinding of titanium is also challenging as evident from its previous works. Grinding at high speed requires large force and generates high heat which may cause surface burns and re-deposition of chips on the ground surface. Apart from that, intense wheel loading and wheel material removal are the possible adverse phenomena while grinding [5], [6]. But due to its huge demand, grinding of titanium is essential inspite of the difficulties already stated. Hence it should be done by selecting the proper combination of environment, abrasive wheel, and grinding process parameters.

## II. Experimental Procedure

**Workpiece and Wheel Material:** Commercially pure Titanium Grade 1 is best known for its corrosion applications than titanium alloys, especially when high strength is not a requirement [7]. Apart from this, its applications can distinctively be found in surgical implants and prosthetic devices due to its inertness in the human body, that is, resistance to corrosion by body fluids [7], [8]. The present set of experiments includes Titanium Grade 1 plate of dimension 120 mm × 64 mm × 6 mm as workpiece, the composition of which is given below in Table 1.

**Table 1: Composition of titanium grade 1 alloy.**

Titanium	Iron	Oxygen	Nitrogen
99.85	0.12	0.02	0.01

The selection of grinding wheel is a very important factor in case of grinding of titanium. At high temperature, titanium has strong affinity for nitrogen, oxygen and carbon. Reports pertaining to the fact that nitrogen, oxygen and carbon react with titanium at high temperature and tend to make the material harder, stronger and less ductile, can be found in the works of Mandal *et al.*[9]. Hence the wheel chosen here to work with is an alumina wheel of specification AA 60 K 5 V. Also alumina wheel is cheap and widely used.

**Experimental set-up and procedure:** Grinding experiments have been performed on a Surface Grinder of HMT Praga division make. Two infeed 10 μm and 20 μm were selected for the experiments. Each experiment comprised of 20 passes in up-grinding mode and under dry environment. Wheel dressing is performed with a dressing depth of 20 μm, at a speed of 2.3 m/min, using a single point 0.5 carat diamond dresser. Tangential (F<sub>t</sub>) and normal (F<sub>n</sub>) force values were obtained using a Sushma make strain gauge type dynamometer.

**Table 2: Experimental set-up details**

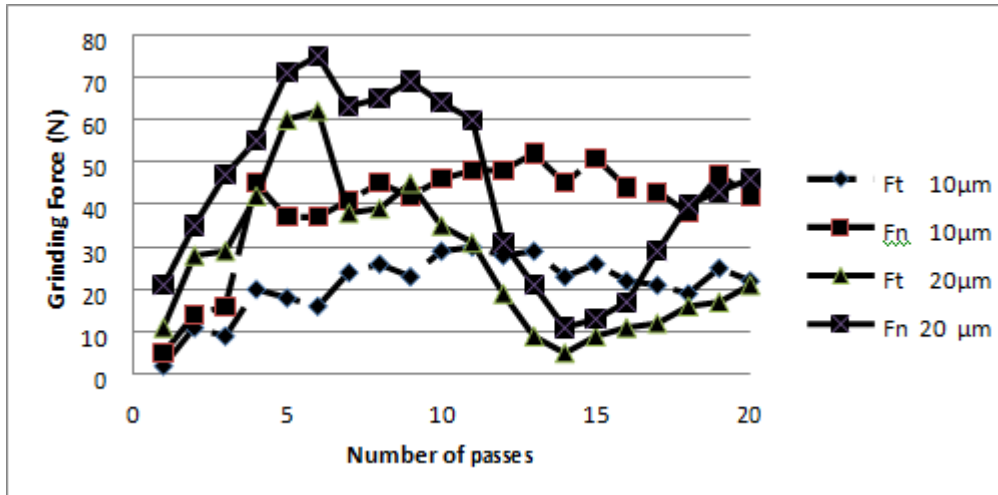
<b>Surface Grinding Machine</b>	Make : HMT Praga Division, Model : 452 P Infeed Resolution : 1 μm, Main Motor Power : 1.5 kW Maximum Spindle Speed : 2800 rpm
<b>Grinding Wheel</b>	Make : Carborundum Universal limited Type : Disc Type, Size : 200 × 31.75 × 20 Specification : AA 60 K 5 V
<b>Workpiece</b>	Material : Titanium Grade 1 Dimension : 120 mm × 55 mm × 6 mm Hardness : 22 HRC
<b>Working Environment</b>	Dry
<b>Force Dynamometer</b>	Make : Sushma Grinding Dynamometer, Bengaluru, Model : SA 116 Range : 0.1 – 100 kg, Resolution : 0.1 kg
<b>Wheel Dresser</b>	Make : Solar, India Specification : 0.5 carat Single Point Diamond Tip Dressing Infeed : 20 μm, Dressing speed: 2.3m/min
<b>Surface Roughness Tester</b>	Make : Mitutoyo, Japan, Model : Surf test 301 Range : 0.05 – 40 μm, Resolution : 0.05 μm
<b>Tool Makers Microscope</b>	Make : Mitutoyo, Japan, Model : TM 510

## III. Experimental results and discussion

**Grinding Force:** The plot in Fig 1 shows the variation of grinding force with the number of passes for both the infeed of 10 μm and 20 μm.

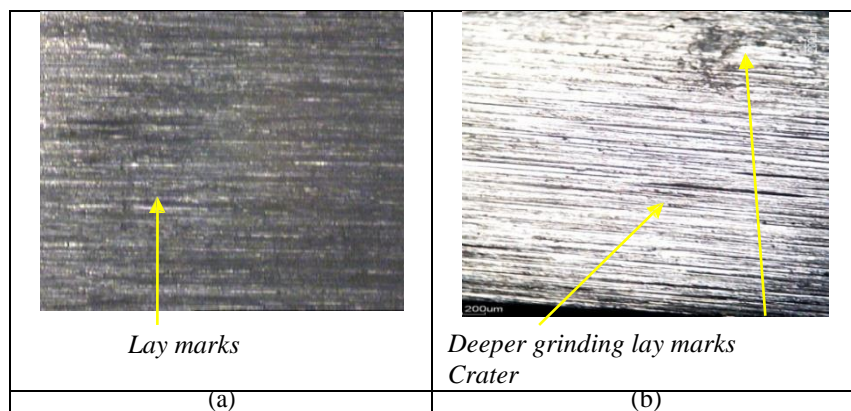
Grinding at 10 μm infeed showed force values rising high at the 4<sup>th</sup> pass and thereafter rising gradually and falling again. But grinding at 20 μm infeed, showed force values having a steep rise at the 6<sup>th</sup> pass and then falling deep down at 14<sup>th</sup> pass. The reason may be explained as dulling of grits which have resulted in more friction rather than material removal. Grain pull-out also may have occurred in this case, resulting in an inability for the grinding wheel to cut at desired infeed value. Hence force required was high. Gradually as fresh grits came out, force requirement decreased and normal cutting action resumed.





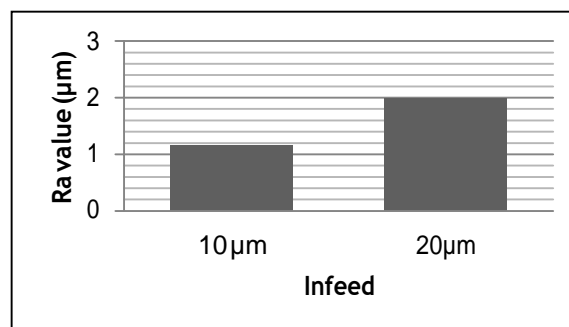
**Fig 1: Variation of grinding forces with number of grinding passes under dry condition at 10 µm and 20 µm infeed**

**Ground Surface Observed:** Images show a better surface finish at 10 µm than at 20 µm. Fig 2(b) of ground surface for 20µm infeed show deeper grinding marks and traces of temperature induced deformation. Vibrations are also noticed while grinding at 20µm infeed. This is a clear indication of high wheel-loading and glazing, resulting in generation of high grinding zone temperature [10].



**Fig 2: Ground surface observed after 20 passes (a) 10µm; (b) 20µm**

Surface roughness values clearly indicates a better surface in case of grinding at 10µm infeed value. The normal grinding force ( $F_n$ ) has an influence upon the surface roughness of the workpiece[11]. The variation of average surface roughness ( $R_a$ ) values obtained from the ground surfaces with respect to infeeds have been shown in Fig 3.



**Fig 3: Variation of surface roughness in transverse direction w.r.t. infeed after 20 grinding passes**

Here it is seen that roughness increases along with increase in infeed. Thus the grits retained their sharpness for long and facilitated material removal by shearing and fracturing, producing sharp striations. Heat generated at 20 $\mu$ m infeed was higher and hence contributed towards a greater roughness [12].

**Grinding ratio:** It is defined as the ratio of the volume of work-piece material removed to the volume of wheel material removed. As evident from the plot in Fig 4, grinding with 10 $\mu$ m infeed gives better results in terms of material removal as compared to that for grinding with 20 $\mu$ m infeed. The reason may be explained as less heat generation while grinding at 10 $\mu$ m which led to the longer retention of grit sharpness and less wheel material removal compared to grinding at 20 $\mu$ m [13].

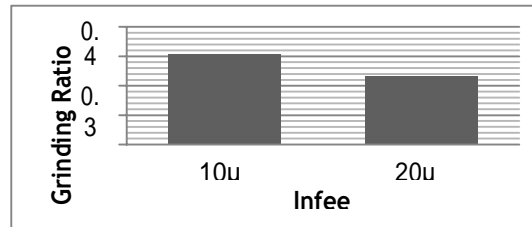


Fig 4: Comparison of Grinding Ratio after 20 passes

**Chip-forms observed:** The chip morphology clearly indicates the mechanism of grinding at two different infeed conditions. Serrated lamellar or blocky chips are seen while grinding at 10 $\mu$ m. This indicates the presence of high pressure. Ribbon like chips are also obtained, grinding at 10  $\mu$ m.

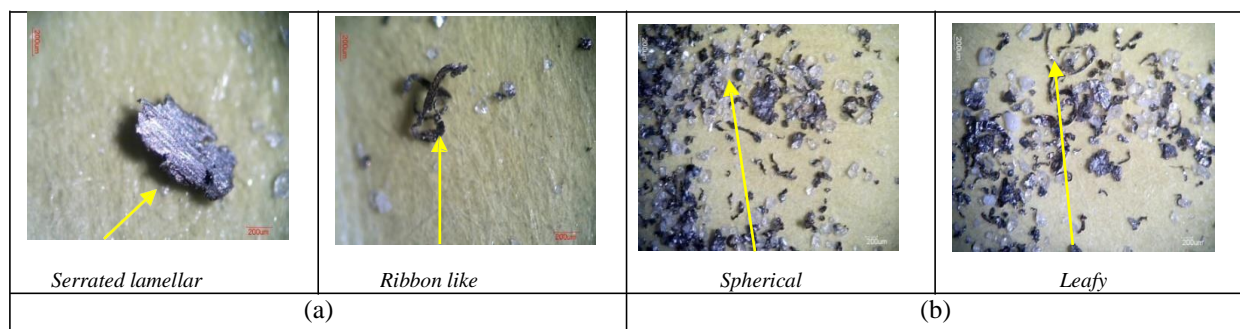


Fig 5: Chip form observed after 20 passes (a) 10 micron; (b) 20 micron

#### IV.

#### V. Conclusion

Following conclusions may be drawn from the observations made out of the experimental work done.

- Force values recorded for 20 $\mu$ m infeed show remarkable rise up to the 6th pass and drop sharply up to the 14th pass, while those recorded for 10 $\mu$ m shows a gradual increasing trend up to around 13th pass and then decreases gradually.
  - Surface finish is better for Titanium Grade 1 at 10 $\mu$ m than at 20 $\mu$ m under aforesaid grinding conditions.
- Since the environment was kept dry, chip study and ground-surface study indicated generation of high temperature. Hence it is necessary to use proper grinding fluids in order to achieve better grinding performance.

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# ASSESSING GRINDABILITY OF INCONEL USING ALUMINA WHEEL

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**Abstract:** In this ever changing world of manufacturing industries, constant research and development has led to extensive use of Inconel alloys which are Nickel base superalloys. These alloys are widely used in gas turbine blades, seals and combustors, as well as turbocharger rotors and seals, high temperature fasteners, chemical processing and pressure vessels, heat exchanger tubing, steam generators, etc. Certain properties of these Inconel alloys viz. high strength and high resistance to temperature and corrosion make them commercially attractive and make Inconel a difficult-to-grind material, mainly due to high intense wheel loading, workpiece surface deterioration, and high heat generation. A proper wheel has to be selected to minimize cutting forces, and to reduce wheel wear as well as cutting temperature, particularly during dry grinding. In the present investigation, experiments have been performed to make a comparative study on grindability of Inconel 600 alloy under two different infeed values. It has been observed that grindability of Inconel 600 at 10  $\mu\text{m}$  infeed is better than a 20  $\mu\text{m}$  infeed in case of dry grinding, with respect to grinding forces, surface roughness, grinding ratio and the observed chip forms.

**Keywords:** Grinding, Inconel, wheel loading, grinding forces, surface roughness.

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## I. Introduction

Grinding is a well-known abrasive machining process that employs a grinding wheel as the cutting tool. Excess workpiece material is removed in the form of microscopic chips by the grinding wheel which is composed of a large number of cutting edges constituted by the hard and sharp abrasive grits held strongly in the wheel by a suitable bond material. Average surface roughness (Ra) as low as 0.1  $\mu\text{m}$  is obtainable through grinding, which is up to ten times better than with either turning or milling [1]. Advanced grinding processes find major applications in aerospace, energy and transport industries where the surface and subsurface quality of the components manufactured are of prime importance as the components fail mainly by fatigue, creep, stress. A major aerospace alloy is Inconel, which is a Nickel-Chromium superalloy. During the last 20 years, use of these super-alloys has significantly increased in various industries, due to their excellent properties. These alloys are widely used in gas turbine blades, seals, and combustors, as well as turbocharger rotors and seals, high temperature fasteners, chemical processing and pressure vessels, heat exchanger tubing, steam generators etc. Inconel 600 is a Nickel-Chromium alloy having high creep-rupture strength at high temperatures to about 700°C (1290°F). The versatility of Inconel 600 has led to its use in a variety of applications involving temperatures from cryogenic to above 2000°F [2]. High hardness, high hot strength and low thermal conductivity make it a difficult-to-machine material. High cutting forces and heat generated during grinding of Inconel lead to poor surface quality, thus shortening wheel life. Hence, proper selection of grinding parameters has to be made.

Sinha et al. [6] conducted several experiments on Inconel 718 to identify the optimum dressing parameters. In case of Inconel 718, for minimum specific grinding forces the optimum dressing depth range is 30 to 40  $\mu\text{m}$ . Specific grinding forces vary inversely with dressing lead. Wear surfaces of cutting tools are analysed to study the wear mechanism of cemented carbide tools in turning Inconel 718 superalloys [7]. SEM analysis indicated that the wear of carbide was caused by diffusion of elements (Ni or Fe) in workpiece into tool's binder (Co) by a

grain boundary diffusion mechanism, so diffusion wear is dominant. Also, a longer tool life was obtained with low content of cBN (45-60%), small grain size and ceramic binder. Anderson et al. [8] used a 1.5 kW CO<sub>2</sub> laser to preheat the surface of Inconel 718 superalloy. It was seen that specific cutting energy decreased significantly during Laser Assisted Machining (LAM) from conventional machining. Surface finish improved two-fold as temperature increases from room temperature to 540°C. This process is economically beneficial as large savings in cost are achieved. Mandal et al. [9], [10] and Singh et al. [11] compared grindability of Inconel 600 under dry conditions, flood cooling and wet with pneumatic barrier setup. It was reported that force requirements, wheel wear, surface roughness were reduced by using the pneumatic barrier setup as compared to the other systems. In the present experimental work, grindability of Inconel 600 with two different infeed of 10 µm and 20 µm has been compared in terms of grinding forces, surface roughness, G-ratio and type of chips observed. Surface grinding has been performed in a horizontal axis grinding machine using an alumina wheel in dry environment.

## II. EXPERIMENTAL DETAILS

The workpiece material used is a rectangular plate of Inconel 600 alloy having dimensions of 120mm x 60mm x 6 mm and a hardness of 90 HRB. The chemical composition of Inconel 600 alloy used in this experiment is given in Table 1. Before grinding, Rockwell hardness test has been conducted to measure the hardness of the workpiece.

Table 1: Chemical composition of Inconel 600

Units	Nickel	Chromium	Iron	Manganese	Carbon
%	73	14.2	8.4	0.86	0.15

Grinding has been performed on a horizontal axis surface grinding machine. The complete specifications and other equipment used are detailed in Table 2. Up grinding has been performed for 20 passes at 10 and 20 µm infeed. A constant wheel speed of 30 m/s and a table feed of 14 m/min are maintained throughout the whole experimental investigation. Force values have been measured by a Sushma make strain gauge type dynamometer. During each pass, both the tangential (F<sub>t</sub>) and normal (F<sub>n</sub>) components of forces have been measured and recorded. Surface roughness has been measured by a portable surface roughness tester of Mitutoyo make.

Table 2: Experimental and equipment details

Surface Grinding Machine	Make: HMT Praga Division Model: 452 P Infeed Resolution: 1 µm Main Motor Power: 1.5 kW Maximum Spindle Speed: 2800 rpm
Grinding Wheel	Make: Carborundum Universal limited Type: Disc Dimensions: 200 × 31.75 × 20 Specification: AA60K5V
Workpiece	Material: Inconel 600 Dimensions: 120 mm × 60 mm × 6 mm Hardness: 90 HRB
Force Dynamometer	Make: Sushma Grinding Dynamometer, Bengaluru Model: SA 116 Range: 0.1 – 100 kg Resolution: 0.1 kg
Wheel Dresser	Make: Solar, India Specification: 0.5 carat single point diamond tip Dressing Infeed: 20 µm
Surface Roughness Tester	Make: Mitutoyo, Japan Model: Surf test 301 Range: 0.05 – 40 µm Resolution: 0.05 µm
Tool Makers Microscope	Make: Mitutoyo, Japan Model: TM 510

### III. RESULTS AND DISCUSSION

Fig. 2 represents variation of tangential and normal forces with the number of passes at 10  $\mu\text{m}$  infeed, while Fig. 3 represents variation of the same forces with a 20  $\mu\text{m}$  infeed.

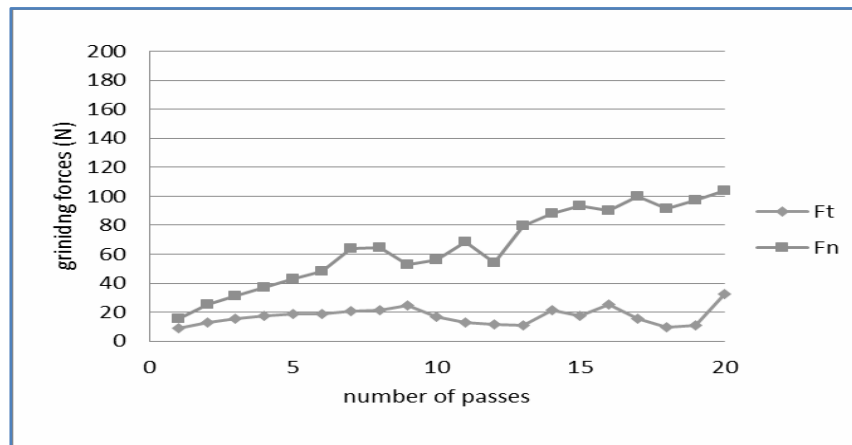


Fig 2: Variation of grinding forces with numberof passes at 10  $\mu\text{m}$  infeed

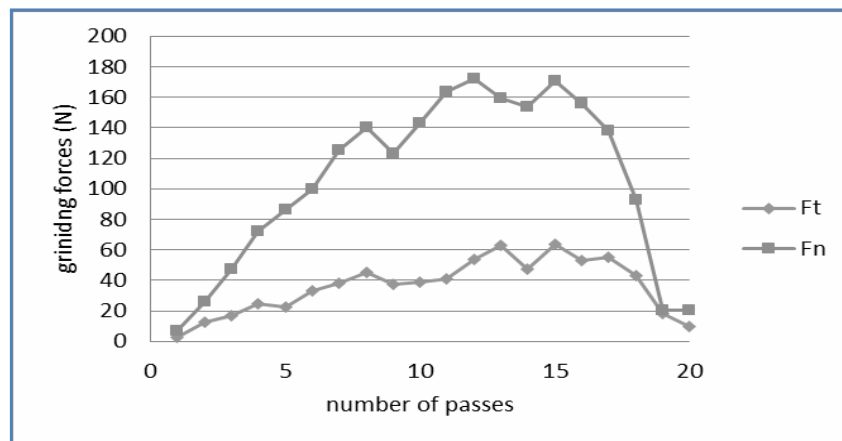


Fig 3: Variation of grinding forces with number of passes at 20  $\mu\text{m}$  infeed

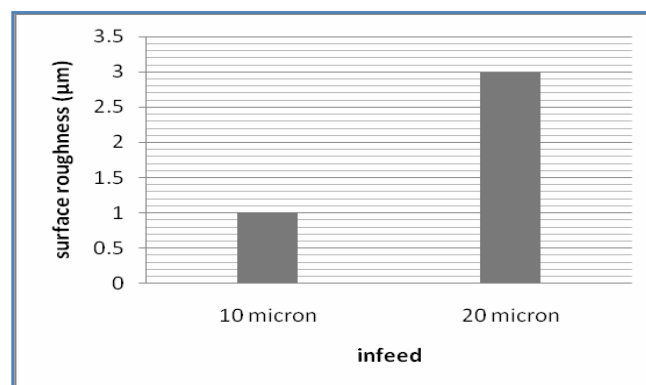


Figure 4: Comparison of surface roughness ( $R_a$ ) for 10  $\mu\text{m}$  and 20  $\mu\text{m}$  infeed

The plots above make it quite clear that normal force ( $F_n$ ) is higher than the tangential force ( $F_t$ ) in both the cases. Fig. 1 shows a gradually increasing trend of forces. This is due to the fact that forces increase with the increase in infeed and rapid dulling of wheel grits and wheel loading. Fig. 3 depicts a rising trend of forces up to the 13<sup>th</sup> pass. After that, the forces gradually decrease. This may be due to autosharpening of the wheel, where dull grits get dislodged bringing fresh grits to the wheel surface, thus improving cutting action and decreasing the force values.

From Fig. 4, it can be clearly seen that the surface roughness (Ra) at 10  $\mu\text{m}$  infeed is lower than that at 20  $\mu\text{m}$  infeed. This can be attributed to the low thermal conductivity of the workpiece which generates more heat at 20  $\mu\text{m}$  infeed. Also, strong adhesion between the wheel and workpiece can be responsible for higher roughness values.

Grinding ratio is the ratio of material removal rate to the wheel material removal rate. It is an important parameter in judging grindability. Higher G-ratio indicates good grindability, but not always. For instance, the wheel may be too hard for the workpiece material which can cause an increase in forces and lead to a poor surface texture.

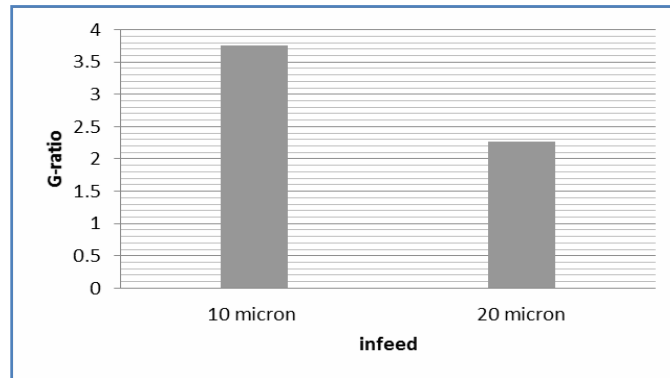


Fig.5: Comparison of G-ratio for both 10 and 20  $\mu\text{m}$  infeeds.

From Fig. 5, it is clearly seen that G-ratio is higher in case of 10  $\mu\text{m}$  infeed than 20  $\mu\text{m}$  infeed indicating better grindability achieved at 10  $\mu\text{m}$  infeed.

The chips obtained and the ground surface have been observed under a tool maker's microscope after 20 passes. Fig. 6 shows the chip morphology after 20 passes in case of 10 and 20  $\mu\text{m}$  infeeds.

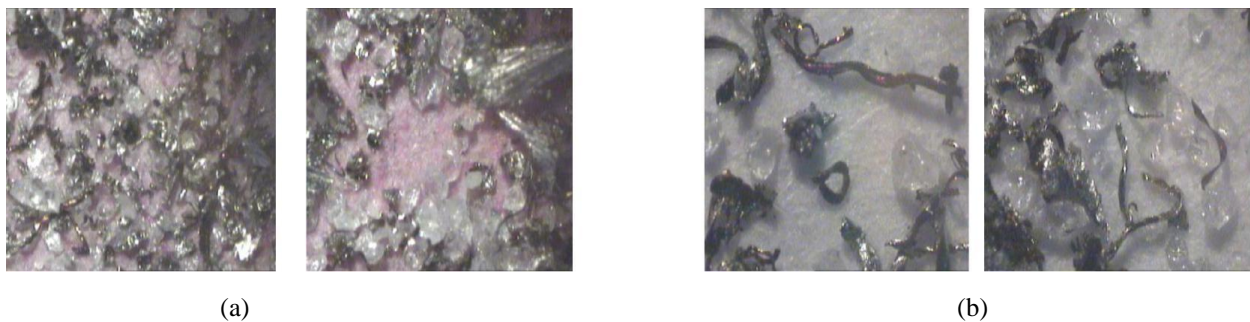


Fig.6: Chip morphology in case of (a) 10  $\mu\text{m}$ , and (b) 20  $\mu\text{m}$

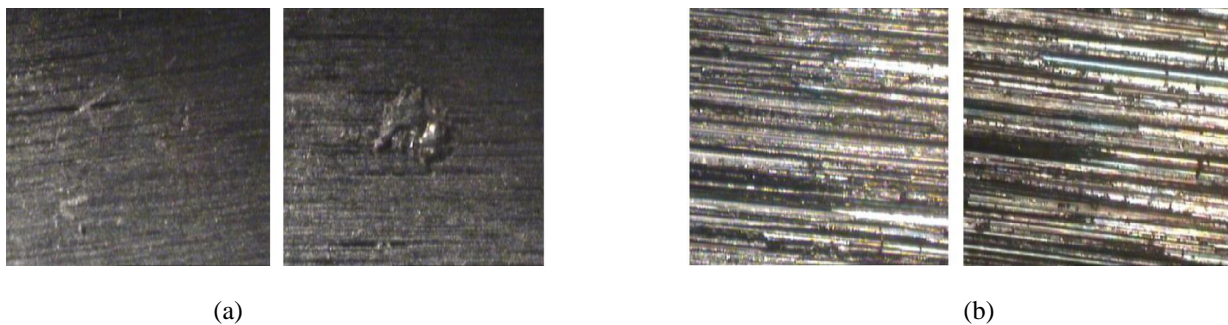


Fig.7: Ground morphology in case of (a) 10  $\mu\text{m}$ , and (b) 20  $\mu\text{m}$

Chips are collected from the 17<sup>th</sup> pass onwards. Fig. 6(a) shows mainly blocky and fragmented chips along with pulled out grains indicating high wheel wear and high wheel loading. Fig. 6(b) shows curled chips, both continuous and discontinuous, indicating favourable grinding. The surface topography shows chip redeposition as evident from Fig. 7(a). Chip redeposition occurs on account of the chips adhering to the extremely heated surface of the workpiece.



#### IV. Conclusion

In the present work, the effect of infeed on Inconel 600 using an alumina wheel has been studied experimentally. The main results obtained are summarized as follows:

- The normal force component ( $F_n$ ) is higher than the tangential ( $F_t$ ) force in all the cases.
- Both tangential and normal forces in case of 20  $\mu\text{m}$  infeed are higher than that of 10  $\mu\text{m}$  infeed.
- Surface roughness values are lower in case of 10  $\mu\text{m}$  infeed, indicating a higher surface finish.
- Grinding ratio or G-ratio is higher in case of 10  $\mu\text{m}$  infeed.
- The observed chip images reveal more shear type chip formation at 10  $\mu\text{m}$  infeed. Chip redeposition is found on the surface of the workpiece, indicating very high heat generation.

On the whole, the grindability of Inconel 600 under dry conditions with an infeed of 10  $\mu\text{m}$  is found to be better than that at 20  $\mu\text{m}$  infeed.

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# Optimization of Process Parameters of Miniature Spur Gear in Wire-cut EDM of Inconel-718

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## Abstract

This paper has dealt with the development in the material science that leads to the development of advanced engineering material like super alloy i.e. Inconel-718. An alternative competitive process is WEDM to manufacture complex Inconel part geometries. Therefore, it is observed that manufacture of micro spur gear with high dimensional accuracy is a very challenging task by WEDM process. To manufacture components with intricate shapes and profiles, Wire electrical discharge machining (WEDM) is widely accepted as non-traditional machining process. Five process parameters like Pulse-on-time, Pulse-off-time, Wire feed, Gap voltage and Peak current upto 5 levels are chosen as an input parameter. This paper is focused on the aspects related to the material removal rate and dimensional accuracy which are the most important parameters from economical aspects as well as from the point of view of selecting the optimum condition of processes. In addition, the present work also proposes the development of mathematical model to predict the Addendum error, Tooth thickness error, Pitch error, and Dedendum error by using RSM. Thus, optimum input parameters are obtained by using Multi objective optimization to achieve minimum errors in the gear profile. ANOVA is used to identify the significant process parameters. It is seen that the material removal rate is high when the peak current and Ton is at extreme. It has also been concluded that at high discharge energy the rate of errors increases along with the formation of dominant coral reef (SEM analysis). Random micro voids also occur at low discharge energy.

**Keywords:** WEDM, Miniature Spur Gear, Material Removal Rate (MRR), various errors, Optimization, Regression Models, ANOVA.

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## 1. INTRODUCTION

In industrial, scientific and domestic applications miniature gears are extensively used. Typical applications include robotic drives, smart toys, timer mechanisms, precision scientific instruments, robotics drive, miniature pumps and motors. For the transmission of motion a fine pitch gears are generated by implementing brass wire during machining. The conventional process of generating meso gears consist of gear stamping, gear hobbing, powder metallurgy, gear extrusion, and die casting. But there are certain limitations i.e. post finishing operations is necessary in gear stamping for avoiding poor edge definition, in gear extrusion there is a problem of die wear, in gear hobbing process tool marks occurs on the flanks of the gear, accuracy of gears are poor for die casting, and to arrange various fine powder of gear materials is one of the challenging task in the powder metallurgy process [1-3]. Through the international standers the quality of micro geometry parameters of a gear is determined by AGMA (American gear manufacturing association) and DIN (Deutsche normen). Better quality gear is indicated by Higher AGMA number or lower DIN number and vice versa [4]. In [5] it has been proved that low discharge energy is the most significant factor for better quality gear. To achieve best quality gears the experimental research decided to identify the most important parameters (Peak current, Pulse on time, Pulse off time, Gap voltage, and Wire feed rate) within the feasible ranges. The effect of the five parameters on accumulated pitch deviation and total profile deviation has been described in [6]. In [7] the effect of wire feed rate, cutting speed and pulse off time on outside diameter and chordal tooth thickness of the best quality miniature gear has been determined. The difficulty in adopting the traditional manufacturing processes for producing micro gears can be attributed mainly due to the development of new materials with a low machinability, dimensional and accuracy requirement for precision application and a higher production rate and economy.

For the present study Inconel-718 alloy has been chosen as work piece material for manufacturing gear. Inconel-718 alloy is a very high-strength, corrosion-resistant nickel chromium material. Inconel-718 is widely used in turbo machinery industry due to their outstanding mechanical properties [8]. It is very difficult task to machine an advanced material like Inconel alloys by using conventional mechanical processes such as broaching, milling or grinding [9]. WEDM process is an alternative competitive process to manufacture complex Inconel part geometries. Inconel-718 typically finds application in gas turbines, rocket motors, space craft and nuclear reactors and pumps. This paper particularly dealt with the generation of the best quality miniature gear by the best feasible combination of the input parameters.

## 2. DETAILS OF EXPERIMENTATION

### 2.1 Selection of Process Parameters

The selection are based on certain consideration to input parameters and output parameters the following parameters are chosen in machining INCONEL-718 (Table 1).

**Table 1 Input and Output Parameters**

Input Parameters	Output Parameters
1. Pulse-on-time ( $\mu\text{s}$ ) 2. Pulse-off-time ( $\mu\text{s}$ ) 3. Wire feed (mm/min) 4. Gap voltage (volt) 5. Peak current (A)	1. Material removal rate ( $\text{mm}^3/\text{min}$ ) 2. Surface roughness 3. Various errors ( Pitch error, Addendum error, Dedendum error, Tooth thickness error)

### 2.2 Controllable Parameters and Their Limits

The identification of process parameters and to define the level of each factor has been formed to be equally crucial to the successes of any optimization problem. The controllable parameters their actual and decided ranges along with the different levels are shown in Table 2.

**Table 2 Controllable Parameters and Their Limits**

Notations (Coded names)	Controllable parameters	Units	Actual range	Decided range	Levels/Limits				
					-2	-1	0	1	2
A	Wire feed rate	m/min	1-100	25-85	25	40	55	70	85
B	Peak current	A	1-5	1-5	1	2	3	4	5
C	Pulse-on-time	$\mu\text{sec}$	1-100	30-70	30	40	50	60	70
D	Pulse-off-time	$\mu\text{sec}$	1-15	3-11	3	5	7	9	11
E	Gap voltage	Volts	1-100	30-70	30	40	50	60	70

### 2.3 Design of Miniature Gear

Design Calculation is represented in Table 3.

- Module=0.7mm
- Numbers of teeth (N) =10
- Pressure Angle ( $\Theta$ ) =  $20^\circ$

**Table 3 Design Calculation of Miniature Gear**

Sl No.	Terms	Formula	Dimensions (mm)
01	Module	P.C.D/N	0.7
02	Circular Pitch	$\pi \times m$	2.19
03	Addendum	$0.318 \times \text{C.P}$	0.69
04	Addendum circle diameter	P.C.D + (2 $\times$ Addendum)	8.4
05	Clearance	C.P/20	0.11
06	Dedendum	Addendum + Clearance	0.81
07	Dedendum Circle Diameter	P.C.D - (2 $\times$ Dedendum)	5.4
08	Tooth Thickness	C.P/2	1.1

## 3. RESULTS AND DISCUSSION

The details physical and geometrical aspects of the miniature gears are given in the following paragraphs.

### 3.1 Optimal Levels of Process Parameters for Single Responses

By using Response Surface Methodology the optimum level of process parameters has been achieved to obtain the best quality miniature gears.

**Table 4 Optimal Levels of Process Parameters for Single Responses**

Response	Optimal Values				
	A	B	C	D	E
MRR	25	5	70	3	70
SR	55	3	30	6	60
Pitch error	25	3	50	7	40
Addendum error	70	3	30	5	80
Dedendum error	25	1	70	6	40
Tooth thickness error	55	3	40	5	80

### 3.2 Analysis of Variance (ANOVA)

The effect of parameters on responses is carried out by ANOVA. Different parameters are having a relative significance value and it is determined by the calculated F-values for various responses. The Analysis of Variance (ANOVA) and F-ratio test have been performed to check the adequacy of the model as well as the significance of the individual model co-efficient. It can be appreciated the P value is less than 0.05 (Table 5-8) which means the model is significant at 95% confidence level.

**Table 5 ANOVA for Pitch Error**

Factors	DOF	SS <sup>2</sup>	MS	F	P
W.F	1	0.00018	0.00018	1.00	0.325
Ip	1	0.001530	0.001530	8.50	0.000
Ton	1	0.042197	0.042197	234.38	0.000
Toff	1	0.112954	0.112954	627.41	0.000
GV	1	0.000072	0.000072	0.40	0.002
Error	31	0.005581	0.000180		
Total	51	0.346489			
S= 0.0134176 R-sq= 97.39% R-sq(adj)= 96.35%					

**Table 6 ANOVA for Dedendum Error**

Factors	DOF	SS <sup>2</sup>	MS	F	P
W.F	1	0.000000	0.000000	0.00	0.569
Ip	1	0.000040	0.000040	0.27	0.000
Ton	1	0.085110	0.085110	585.79	0.000
Toff	1	0.057570	0.057570	396.24	0.000
GV	1	0.000241	0.000241	1.66	0.000
Error	31	0.004504	0.000145		
Total	51	0.300798			
S= 0.0120537 R-sq= 97.50% R-sq(adj)= 96.54%					

**Table 7 ANOVA for Addendum Error**

Factors	DOF	SS <sup>2</sup>	MS	F	P
W.F	1	0.000910	0.000910	3.70	0.064
Ip	1	0.003660	0.003660	14.87	0.000
Ton	1	0.093354	0.093354	379.34	0.000
Toff	1	0.195776	0.195776	795.53	0.000
GV	1	0.014273	0.014273	58.00	0.000
Error	31	0.007629	0.000246		
Total	51	0.589016			
S= 0.0156874 R-sq= 97.70% R-sq(adj)= 96.87%					

**Table 8 ANOVA for Tooth thickness Error**

Factors	DOF	SS <sup>2</sup>	MS	F	P
W.F	1	0.05103	0.05103	25.25	0.000
Ip	1	0.23069	0.23069	114.15	0.000
Ton	1	0.00115	0.00115	0.57	0.000
Toff	1	0.98819	0.98819	488.98	0.000
GV	1	0.07038	0.07038	34.83	0.000
Error	31	0.06260	0.002021		
Total	51	3.68793			
S= 0.0449544 R-sq= 97.30% R-sq(adj)= 96.21%					

### 3.3 MATHEMATICAL MODEL

The empirical models are developed for Addendum error, Dedendum error, pitch error and tooth thickness error based on the experimental value using MINITAB 16 and the models are represented in Equation 1, 2, 3 and 4.

The models have maintained a noble relationship between parameters and their respective responses. The models are having the value of R<sup>2</sup> above 0.95.

$$\begin{aligned}
 \text{PITCH ERROR} = & 1.917 + 0.00583 \text{ W.F} - 0.1787 \text{ Ip} - 0.02969 \text{ Ton} - 0.2622 \text{ Toff} \\
 & - 0.00048 \text{ G.V} + 0.000057 \text{ W.F*W.F} + 0.01653 \text{ Ip*Ip} \\
 & + 0.000112 \text{ Ton*Ton} + 0.012351 \text{ Toff*Toff} + 0.000087 \text{ G.V*G.V} \\
 & + 0.000458 \text{ W.F*Ip} - 0.000003 \text{ W.F*Ton} + 0.000057 \text{ W.F*Toff} \\
 & - 0.000241 \text{ W.F*G.V} + 0.001194 \text{ Ip*Ton} - 0.00139 \text{ Ip*Toff} \\
 & + 0.000131 \text{ Ip*G.V} + 0.001342 \text{ Ton*Toff} + 0.000079 \text{ Ton*G.V} \\
 & + 0.000163 \text{ Toff*G.V} \quad \dots\dots\dots (1)
 \end{aligned}$$

$$\begin{aligned}
 \text{ADD ERROR} = & 9.629 - 0.00745 \text{ W.F} - 0.2492 \text{ Ip} - 0.01775 \text{ Ton} - 0.1733 \text{ Toff} \\
 & - 0.00896 \text{ G.V} + 0.000065 \text{ W.F*W.F} + 0.03472 \text{ Ip*Ip} - 0.000018 \text{ Ton*Ton} \\
 & + 0.008854 \text{ Toff*Toff} - 0.000138 \text{ G.V*G.V} + 0.000863 \text{ W.F*Ip} \\
 & - 0.000010 \text{ W.F*Ton} + 0.000215 \text{ W.F*Toff} - 0.000073 \text{ W.F*G.V} \\
 & + 0.001728 \text{ Ip*Ton} - 0.00290 \text{ Ip*Toff} - 0.000837 \text{ Ip*G.V} \\
 & - 0.001757 \text{ Ton*Toff} + 0.000529 \text{ Ton*G.V} + 0.001342 \text{ Toff*G.V} \quad \dots\dots\dots (2)
 \end{aligned}$$

$$\begin{aligned}
 \text{DED ERROR} = & 6.520 + 0.00178 \text{ W.F} + 0.0541 \text{ Ip} - 0.04123 \text{ Ton} - 0.2977 \text{ Toff} \\
 & - 0.00999 \text{ G.V} + 0.000004 \text{ W.F*W.F} - 0.00253 \text{ Ip*Ip} + 0.000197 \text{ Ton*Ton} \\
 & + 0.010552 \text{ Toff*Toff} + 0.000034 \text{ G.V*G.V} - 0.000456 \text{ W.F*Ip} \\
 & + 0.000021 \text{ W.F*Ton} + 0.000032 \text{ W.F*Toff} - 0.000032 \text{ W.F*G.V} \\
 & - 0.000120 \text{ Ip*Ton} - 0.00203 \text{ Ip*Toff} + 0.000107 \text{ Ip*G.V} \\
 & + 0.002287 \text{ Ton*Toff} + 0.000066 \text{ Ton*G.V} + 0.000728 \text{ Toff*G.V} \quad \dots\dots\dots (3)
 \end{aligned}$$

$$\begin{aligned}
 \text{TOOTH THICKNESS ERROR} = & 9.195 - 0.04687 \text{ W.F} - 1.4280 \text{ Ip} - 0.08128 \text{ Ton} \\
 & - 0.5617 \text{ Toff} - 0.0553 \text{ G.V} + 0.000437 \text{ W.F*W.F} \\
 & + 0.07017 \text{ Ip*Ip} - 0.000012 \text{ Ton*Ton} + 0.02861 \text{ Toff*Toff} \\
 & - 0.000021 \text{ G.V*G.V} + 0.005160 \text{ W.F*Ip} - 0.000128 \text{ W.F*Ton} \\
 & + 0.001156 \text{ W.F*Toff} - 0.000361 \text{ W.F*G.V} \\
 & + 0.010530 \text{ Ip*Ton} - 0.01592 \text{ Ip*Toff} + 0.007726 \text{ Ip*G.V} \\
 & - 0.000620 \text{ Ton*Toff} + 0.001054 \text{ Ton*G.V} \\
 & + 0.001430 \text{ Toff*G.V} \quad \dots\dots\dots (4)
 \end{aligned}$$

### 3.4 VALIDATION OF OPTIMUM RESULTS OF RESPONSES

With respect to experimental values for MRR, Surface roughness, addendum error, dedendum error, pitch error, and tooth thickness errors, the optimum levels of influence parameter are determined by implementing Response Surface Methodology and are shown in Table 4. The actual responses as well as the predicted values for various output response are also shown in Table 9.

**Table 9 Confirmation Testing**

Response	Predicted Value	Expt. Value	% Error
MRR	4.4152	4.55	2.96
SR	2.1771	2.224	2.10
Pitch value	0.0310	0.0319	2.82
Addendum	7.2809	7.4061	1.67
Dedendum	4.2445	4.2962	1.203
Tooth thickness	0.0841	0.0869	3.22

### 3.5 PHYSICAL ASPECT OF MINIATURE GEAR

#### 3.5.1. Various Error Measurement

Appropriate selection of parameters is essential to reduce the various types of error. It has been observed that at Wire feed rate 75m/min, Peak current 2A, Pulse on time 50 $\mu$ s, Pulse off time 5 $\mu$ s and gap voltage 70 V the best miniature gear has been manufactured. The output parameters experimental values was 2.0385 mm<sup>3</sup>/min for MRR, 0.19100  $\mu$ m for Pitch error, 7.8950  $\mu$ m for Addendum error, 4.3310  $\mu$ m for Dedendum error, and 0.1820  $\mu$ m for tooth thickness error. Few microscopic views during the measurement time of the miniature gears have been added below.

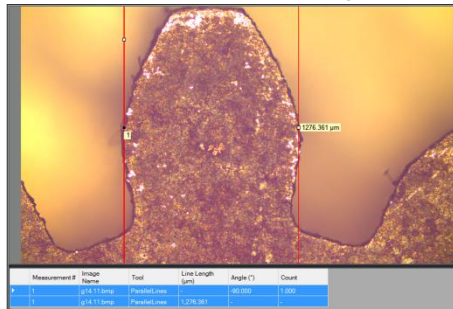


Figure 1 Measurement of the tooth thickness

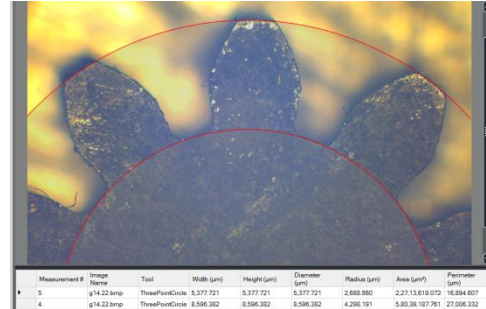


Figure 2 Measurement of the Addendum and Dedendum Circle Diameter

#### 3.5.2. Microstructure Characterization

The best quality gear that has been manufacture by WEDM on the combination of Wire feed rate 75m/min, Peak current 2A, Pulse on time 50 $\mu$ s, Pulse off time 5 $\mu$ s and gap voltage 70 V and the Figure 3 depicts the SEM image of the gear. Similarly it has also been observed that at maximum peak current (5A), wire feed is 60m/min, pulse on time is 40 $\mu$ s, gap voltage is 60V and pulse off time is 7 $\mu$ s the maximum error has been achieved. Minimum thermal impact takes place on the material surface at the lowest discharge energy.

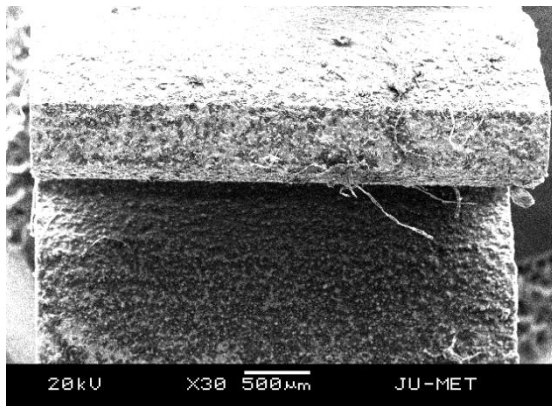


Fig.3. SEM image of the best quality miniature gear tooth

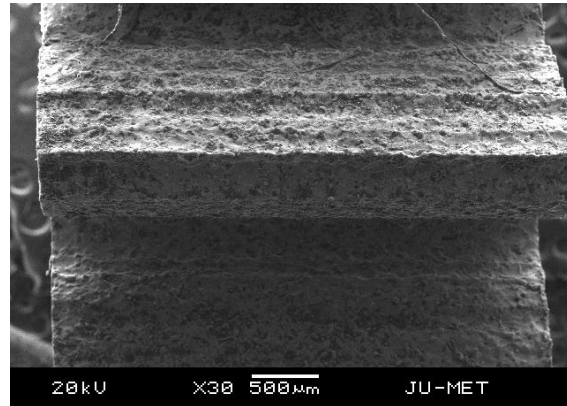


Fig.3. SEM image of the worst quality miniature gear tooth



#### 4. CONCLUSION

In the present work, the various errors related as well as surface related parameters of the miniature gear that has been produced in WEDM were quantitatively and qualitatively interpreted and examined. The generation of the regular shaped craters is preferred to achieve for better surface integrity and geometric accuracy of the WEDM products. The following conclusion that has been drawn out is as follows-

- The main factors that affect the gear profile (geometrical profile) are Peak current, Pulse on Time and Gap Voltage. The outcome works also suggest that to achieve the best quality gear there should be a strongly implementation of low discharge energy parameters.
- The best quality miniature gears had the following experimental value 2.0385 mm<sup>3</sup>/min for MRR, 0.19100 µm for Pitch error, 7.8950 µm for Addendum error, 4.3310 µm for Dedendum error, and 0.1820 µm for tooth thickness error.
- SEM analysis also states that the best quality gear has achieved crack free surface structure as well as the surface of the gear tooth overlapped by flat, shallow, and regular shaped craters.
- High quality miniature gears with the best tribological properties and also with a better functional performance characteristics can be achieved by WEDM or in other words WEDM is an ideal choice for the generation of high quality miniature gears.

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# Investigation of the performances H-rotors at low wind velocities

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## Abstract:

Nowadays a resurgence of interest is seen in vertical axis turbines due to their advanced designs that help them exhibit higher efficiency. These turbines are capable of operating effectively in low wind speed environment, but in this condition their detailed starting characteristics like starting torque, power performances have not been studied comprehensively. Amongst their designs, H-rotor is a straight bladed design having simple structure and high power coefficient. It is seen that the cambered blades increases the starting performance of this rotor along with its high power coefficients. Here, two different blade designs, namely NACA 0018 (symmetrical) and S815 profile (cambered) are considered. The performances of the three bladed rotors with these blades are investigated for three different low wind speeds (4 m/s, 6 m/s and 8 m/s) at rotors solidity 0.51. The results showed that cambered S815 blade H-rotor has higher torque and higher power coefficients than the symmetrical NACA 0018 H-rotor.

**Keywords:** *Vertical axis wind turbine, H- rotor; power coefficient.*

## 1. INTRODUCTION

Recently wind energy is one of the vital source of renewable energy sources existing in different countries to meet the energy demand of people due to the exhausting nature of the conventional energy sources and heavy global warming. Currently vertical axis wind turbine (VAWT) systems are receiving main focus for power generation in low wind environment. Generally, VAWTs are of two types: one is Savonius turbine and the other is Darrieus turbine or rotor. H-Darrieus rotor, which is a variant of the curve bladed lift type Darrieus rotor has become very popular in recent times. These H-Darrieus rotors possess straight vertical blades which are directly connected to the rotor shaft with the help of struts [1]. H-Darrieus rotor exhibits higher power coefficient compared to the curve bladed Darrieus rotor [2] and therefore this rotor can be applicable for power production, water pumping, crop grinding etc. in distant areas. But this rotor shows poor self-starting characteristics as symmetrical blade shapes are used in many cases [3, 4]. So further research is needed to find proper blade shapes so that the VAWT can show improved self-starting and performance features. Many researchers suggested several solutions to overcome the poor self-starting capability of Darrieus rotor: a hybrid Savonius and Darrieus rotor [5], using guide-vane [6] or augmentation of mechanical system to optimize blade pitch [7], use of specially designed blades [8, 9] etc. These designs had some difficulties. However, unsymmetrical or cambered airfoil blades possess better design prospect to show decent starting characteristics [10]. Dominy et al. [11] performed an investigation to

check the effect of number of blades of H-Darrieus rotor having symmetrical NACA0012 airfoil and confirmed that irrespective of its starting position, three-bladed H-Darrieus rotor is able to self-start while operating under steady wind environments. Barker [12] compared theoretically between the performances of an unsymmetrical NACA 0012 and a symmetrical NACA 0012 blade and noticed that the unsymmetrical blade possesses self-starting ability as it displayed greater tangential thrust over a wide angle of attack range. Of late investigation is going on for several high solidity (in the range of 0.4-1.0) three-bladed H-Darrieus rotors. Saeidi et al. [13] found that at solidity 0.4 and rotor aspect ratio 1, a three bladed cambered NACA 4415 shape H-rotor shows maximum power coefficient 0.472. Bhuyan and Biswas [4] experimentally found that for solidity 1, a cambered S818 three blade H-Darrieus rotor is not fully self-starting and displays highest power coefficient of 0.28. Mohamed [14] concluded in his study that increment of solidity increases the self-starting capability of H-Darrieus rotor by increasing the static torque coefficient with solidities greater than 0.25. Singh et al. [3] performed an experimental study on a three-bladed H-Darrieus rotor having S1210 unsymmetrical blades which showed fully self-starting capability for all rotor azimuthal positions and 0.32 was found as the highest power coefficient for aspect ratio 1. So, all these literatures propose that three blade H-rotor having unsymmetrical blade and high solidity value has better prospect while considering self-starting ability and good power coefficient. However the detail investigation of three-bladed H-Darrieus rotor's performance with other

cambered blade profiles having high solidity with proper investigation to starting time at low tip speed ratios is very less. So more researches are needed in this field to show that these H-Darrieus rotors can work in low wind velocity environments successfully.

## 2. OBJECTIVE OF THIS STUDY

From the previous section, it can be realized that three bladed H-Darrieus rotor with unsymmetrical blades and high solidity has the potentiality to solve the poor self-starting feature and performance of such wind turbines. In this paper, along with rotor solidity like the past studies, starting time is taken as an important parameter to improve the performances of cambered blade H-Darrieus rotor, which could help to rise the energy yield in case of low wind speed conditions. Furthermore, there is still not much work on the self-starting ability, torque coefficient measurement etc. of cambered blade H-Darrieus rotor having high solidity, to attain some clear perception for this type of VAWT rotor in low wind environment. Again, it has been noticed that higher tangential thrust offered by unsymmetrical airfoils help them to show better self-start than symmetrical ones, and such blades with higher thickness are better for good self-starting of a rotor [3, 4, 15, 16]. Therefore, due to higher thickness of unsymmetrical S815 airfoil profile than other popular NREL S-series airfoils like S809, S818, S1210 etc., it has been chosen here [17], as the performance of H-Darrieus rotor having S815 blades has not been studied broadly earlier. So, in this paper experimental analysis has been performed on symmetrical NACA 0018 and unsymmetrical S815 three-bladed H-Darrieus rotor at high rotor solidity 0.51 for three different low wind speed conditions (4 m/s, 6 m/s and 8 m/s). NACA 0018 airfoil profile is chosen to compare its performance with S815, as it is very commonly used in case of H-Darrieus rotors [18]. Main aim of this paper is to find the better H-Darrieus rotor configuration which possess better self-starting features, lower starting time and higher performances at low tip speed ratios.

## 3. CONSTRUCTION OF H-ROTORS

To fabricate a three bladed H-Darrieus rotor, at first the outlines of S815 and NACA 0018 airfoils were generated. Then, as per the procedure mentioned by Singh et al. [3], three numbers of blades for both profiles were fabricated and sample images of those blades have been shown in Figs. 1(a) and 1(b). Balsa wood was selected as the material for its various structural advantages [3, 19]. Every single blade has height (H) 29 cm and chord (c) 5 cm which were connected to a MS shaft of 0.6 cm radius and 70 cm length with the help of fully threaded circular struts. These three struts were 120° apart from each other, 19 cm long and 4 mm in diameter. Two ball bearings were used at both end of the supporting structure to place the rotor centre i.e. the shaft. Petrol and lubricating oil was used to wash and clean the bearings periodically. The solidity ( $\sigma$ ) value of the three bladed rotors has been calculated using eqn. 1 [3].

$$\sigma = \frac{Nc}{D} \quad (1)$$

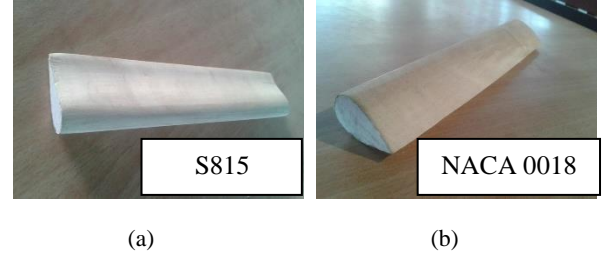


Fig 1. (a) Fabricated S815 rotor blade (b) fabricated NACA 0018 rotor blade

## 4. EXPERIMENTAL METHODS

The H-rotors mounted on the structure were placed in open air at a distance from the outlet of a centrifugal blower test rig. Wind speed was measured using a digital vane type anemometer ranging 0-30 m/s having accuracy level of  $\pm 1\%$ . A digital non-contact tachometer was used to measure the rpm of the rotors having accuracy level of  $\pm 1\%$ . By using a digital stop watch, the starting time of the rotors at different azimuthal positions was measured having least count of 0.01 second. Every set of experiments is repeated up to around 4 to 5 times to get correct and precise results.

For calculating rotors torques, a rope brake dynamometer arrangement was used. There was a nylon string twined around a pulley which is joined to the main shaft through which a brake load was hung and the other end of the string was tied to the spring balance dynamometer to measure its reading. The mechanical torque (T) generated by the main rotor shaft was found by recording the weights and the spring balance readings.

The performance of the H-Darrieus rotors is determined by finding the torque values and power coefficients that can be attained from the following eqns. 5 and 6 [3, 4]:

$$C_t = \frac{4T}{\rho U^2 D^2 H} \quad (2)$$

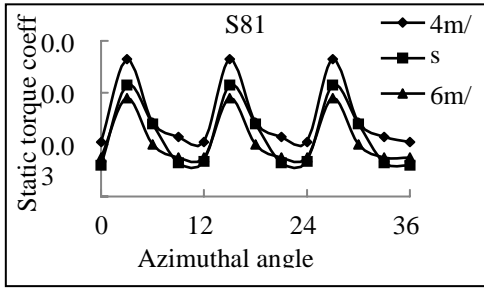
$$C_p = \lambda \times C_t \quad (3)$$

where  $\rho$  is the air density,  $C_t$  is the torque coefficient and  $C_p$  is the power coefficient

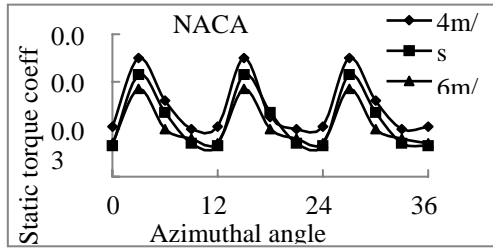
## 5. RESULTS AND DISCUSSIONS

To assess the self-starting ability of the two rotors, an experimental study was made to find the static torque coefficients at different azimuthal positions over a complete rotational cycle for rotor solidity 0.51 and three selected wind velocities from 4-8 m/s. The graphs have been plotted in Fig. 2 for both the rotors at selected operating conditions. It has been observed that for both rotors the static torque values are cyclic in nature with a periodicity of around 120°. It can also be observed that both the rotors are able to self-start for selected wind velocities and solidity value as they do not show negative static torque coefficients and both

rotors show highest static torque values at 4 m/s wind speed.



(a)



(b)

Fig. 2. Static torque coefficient vs. azimuthal angle positions of both the rotors

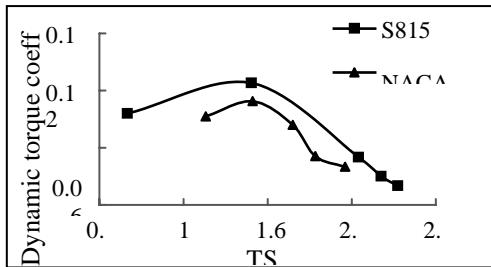


Fig. 3. Dynamic torque coefficient vs. tip speed ratio for both rotors

Fig. 3 is showing the variation of dynamic torque coefficient with tip speed ratio (TSR) for both the rotors. It is seen that S815 blade rotor has higher dynamic torque than NACA 0018 blade rotor for the given TSR range. The highest dynamic torque can be seen in case of S815 at  $TSR = 1.48$  and for both the rotors as the TSR values increase the dynamic torque values firstly increase and then decrease. Also it is observed that the average dynamic torque coefficient values have been increased for unsymmetrical S815 rotor.

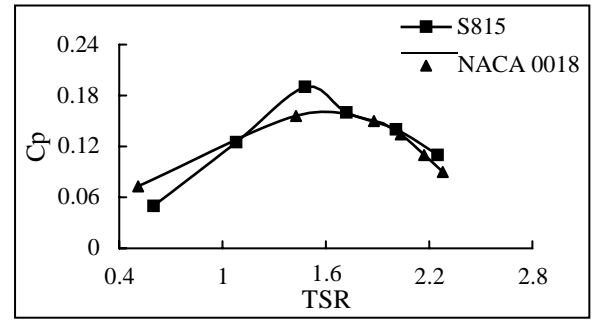


Fig. 4. Power coefficient vs. tip speed ratio both rotors

In Fig. 4 graph has been plotted to find out the power coefficient ( $C_p$ ) value with respect to tip speed ratio for two different rotors for three selected wind speed values. It can be seen that,  $C_p$  values for both the rotors firstly increase as TSR value increases up to certain limit, but after that trip with further increase of TSR. So, it can be said that an optimum TSR value can be found for which  $C_p$  attains its maximum value. Here, both the rotors have almost equal operating range but the power coefficient ( $C_p$ ) of unsymmetrical S815 rotor is higher than symmetrical NACA 0018 rotor. In case of S815 rotor the maximum  $C_p$  of 0.19 has been achieved at TSR value 1.48 whereas for NACA 0018 rotor the same has been found at TSR 1.43 and the value of  $C_p$  is 0.16. The uncertainty in power coefficient as calculated using eqn. 7 lies in 2.2% - 5.5% range and the same using eqn. 8 for torque ranges in 0.3% - 0.6%.

Therefore from the above analysis it can be said that this unsymmetrical H-Darrieus rotor possesses high potentiality to be used for various small-scale applications in low wind regime to produce power. More research using scaled-up models having this unsymmetrical S815 blade with high solidity can be made to improve its operating range and thus its performance so that it can be widely used in distant parts where grid-connected electricity is inadequate.

## 6. CONCLUSIONS

In this present study a three-bladed H-rotor having cambered S815 blades was compared with another three-bladed H-rotor having symmetrical NACA 0018 blades in similar operating conditions to check their self-starting abilities and overall performances. At first, to examine their self-starting ability, static torque coefficients at all rotor azimuthal positions for solidity were measured. After that their power coefficients ( $C_p$ ) were calculated for this same solidity at various TSR values corresponding to three different wind speed conditions. Detail analysis was made from the results, so that a clear comparison can be done on the basis of self-starting features and overall performance between the symmetrical and unsymmetrical blades of three-bladed H-rotors. From this present investigation the following conclusions can be drawn:

- Both the rotors exhibit positive static torque coefficients for all rotor azimuthal positions for solidity 0.51. These positive values suggest that both the rotors are having self-starting characteristics for this current operating conditions considered.
- These rotors show good dynamic torque in this TSR range (0.4-2.5) and low wind speed conditions, but unsymmetrical S815 rotor shows greater dynamic torque than symmetrical NACA 0018 rotor for this current operating conditions considered.
- For 0.51 solidity, maximum  $C_p$  values are 0.19 for S815 rotor and 0.16 for NACA 0018 rotor at TSR values 1.48 and 1.43 respectively. So in this current operating conditions and the TSR range, S815 rotor possess better performance than NACA 0018 rotor.

From this present study, it has been seen that high solidity unsymmetrical S815 blade H-Darrieus rotor has higher static and dynamic torque coefficient and higher power coefficient than the symmetrical NACA 0018 blade H-Darrieus rotor. By scaling up this design model, its performance may be studied to make a comparison with the current findings. Furthermore, this work may be helpful for future researchers towards more study on high solidity unsymmetrical H-Darrieus rotors to improve their performances.

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